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FISH AND RICHARDSON

001/005

Attorney's Docket No.: 06618/923001  
Client's Ref. No.: CIT 3685-CIP

**OFFICIAL COMMUNICATION FACSIMILE:**

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Number of pages including this page 5

Applicant : Anatoliy Savchenkov et al. Art Unit : 2874  
Serial No.: 10/702,201  
Filed : November 4, 2003

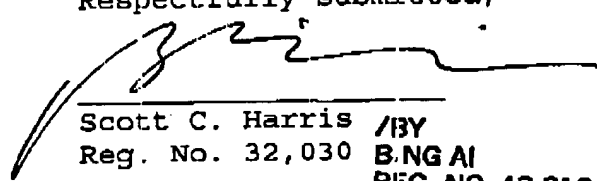
Title : Optical Filter Having Coupled Whispering-Gallery-  
Mode Resonators

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a  
Request for Corrected Official Filing Receipt (4 pages), faxed  
this 1<sup>st</sup> day of November 2004, to the United States Patent and  
Trademark Office.

Respectfully submitted,

Date: November 1, 2004

  
Scott C. Harris /BY  
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Attorney's Docket No.: 06618/923001 / CIT 3685-CIP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anatoliy Savchenkov et al.      Art Unit : 2874  
Serial No.: 10/702,201                      Examiner : Unknown  
Filed : November 4, 2003  
Title : OPTICAL FILTER HAVING COUPLED WHISPERING-GALLERY-MODE RESONATORS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to reflect the correct spelling of second-named inventor VLADIMIR ILCHENKO.

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes is attached for your convenience.

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

November 1, 2004

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Attorney's Docket No.: 06618-923001 / CIT 3685-CIP

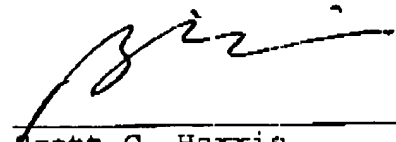
No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 1, 2004

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (C) DATE	ART UNIT	PL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/702,201	11/04/2003	2874	412	08618-923001	13	23	3

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CONFIRMATION NO. 7116

## FILING RECEIPT



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Date Mailed: 08/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*I lchenko*  
 Anatoliy Savchenkov, La Crescenta, CA;  
 Vladimir I lchenko, La Canada, CA;  
 Lutfullah Maleki, Pasadena, CA;  
 Timothy A. Handley, Santa Barbara, CA;

## Domestic Priority data as claimed by applicant

This appln claims benefit of 80/425,019 11/08/2002  
 and is a CIP of 10/441,946 05/19/2003  
 which claims benefit of 80/381,588 05/17/2002

## Foreign Applications

If Required, Foreign Filing License Granted: 08/24/2004

Projected Publication Date: 12/02/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

DOCKETED BY PRACTICE SYSTEMS  
 ACTION CODE: *CORR. OFR*  
 BASE DATE: *8/26/04*  
 DUE DATE: *9/26/04*  
 DEADLINE: *9/26/04*  
 INITIALS: *CEH*  
 RECORD:

Docketed By Billing Secretary  
 Due Date: *9/26/04*  
 Deadline: *9/26/04*  
 Initials:

Optical filter having coupled whispering-gallery-mode resonators

Preliminary Class

385

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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